

## Notice of Meeting

# Audit & Governance Committee



**Date & time**  
Friday, 29 January  
2021  
at 10.30 am

**Place**  
Remote

**Contact**  
Joss Butler

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**Chief Executive**  
Joanna Killian

We're on Twitter:  
[@SCCdemocracy](https://twitter.com/SCCdemocracy)

### **Members**

Mr David Harmer (Chairman), Mr Keith Witham (Vice-Chairman), Dr Peter Szanto, Mr Stephen Spence, Mr Stephen Cooksey and Mrs Victoria Young

## AGENDA

### 1 APOLOGIES FOR ABSENCE AND SUBSTITUTIONS

### 2 MINUTES OF THE PREVIOUS MEETING - 26 NOVEMBER 2020

(Pages 1  
- 10)

To agree the minutes as a true record of the meeting.

### 3 DECLARATIONS OF INTEREST

All Members present are required to declare, at this point in the meeting or as soon as possible thereafter

- (i) Any disclosable pecuniary interests and / or
- (ii) Other interests arising under the Code of Conduct in respect of any item(s) of business being considered at this meeting

#### NOTES:

- Members are reminded that they must not participate in any item where they have a disclosable pecuniary interest
- As well as an interest of the Member, this includes any interest, of which the Member is aware, that relates to the Member's spouse or civil partner (or any person with whom the Member is living as a spouse or civil partner)
- Members with a significant personal interest may participate in the discussion and vote on that matter unless that interest could be reasonably regarded as prejudicial.

### 4 QUESTIONS AND PETITIONS

To receive any questions or petitions.

#### Notes:

1. The deadline for Member's questions is 12.00pm four working days before the meeting (*25 January 2021*).
2. The deadline for public questions is seven days before the meeting (*22 January 2021*).
3. The deadline for petitions was 14 days before the meeting, and no petitions have been received.

### 5 RECOMMENDATIONS TRACKER

(Pages  
11 - 14)

To review the Committee's recommendations tracker.

### 6 TREASURY MANAGEMENT STRATEGY STATEMENT 2021/22

(Pages  
15 - 18)

This report sets out the Council's Treasury Management Strategy for 2021/22, as required, to ensure compliance with the Chartered Institute of Public Finance and Accountancy's Treasury Management in the Public Services: Code of Practice 2017 Edition (the CIPFA Code).

Appendix 1 to follow.

- 7 RISK MANAGEMENT UPDATE** (Pages 19 - 30)
- To provide an update on the risk management project being led by EY to enable the committee to meet its responsibilities for monitoring the development and operation of the council's risk management arrangements.
- 8 COUNCIL EXECUTION OF CONTRACTS - PROCUREMENT RULES** (Pages 31 - 34)
- This report recommends to Committee that the Council's procurement rules in the constitution are amended to remove the need to affix the seal of the Council when executing contracts over the value of £500k.
- 9 REPORT OF THE MEMBER CODE OF CONDUCT WORKING GROUP** (Pages 35 - 60)
- To consider the recommendations of the Member Code of Conduct Working Group on a revised Councillor Code of Conduct and amendments to the Arrangements for Dealing with Allegations of Breaches of the Councillor Code of Conduct.
- 10 DATE OF NEXT MEETING**
- The next meeting of Audit & Governance Committee will be on 23 March 2021

**Joanna Killian**  
**Chief Executive**  
Published: 21 January 2021

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